

SI (B/S Note)

**致：YUE XIU SECURITIES COMPANY LIMITED 越秀証券有限公司**

28/F, Siu On Centre, 188 Lockhart Road, Wanchai, HK 香港灣仔駱克道 188 號兆安中心 28 樓

Tel 電話：(852) 3925 9928 Fax 傳真：(852) 3583 1689 Email 電郵：info@yxsh.hk

**證券交收指示 Settlement Instruction (Bought/Sold Note)**

帳戶名稱 \_\_\_\_\_ 帳戶號碼 \_\_\_\_\_  
Account Name: \_\_\_\_\_ Account Number: \_\_\_\_\_  
參與者名稱 \_\_\_\_\_ 參與者編號 \_\_\_\_\_  
Participant Name: \_\_\_\_\_ Participant I.D.: \_\_\_\_\_  
參與者之聯絡人 \_\_\_\_\_ 聯絡人電話 \_\_\_\_\_  
Contact Person of Participant: \_\_\_\_\_ Telephone No. : \_\_\_\_\_

交收日期 Settlement Date: \_\_\_\_\_

請  從所述參與者收取下列股票至帳戶 **Receive** the following securities from the Participant for the Account.  
Please  將下列股票由帳戶轉往所述參與者 **Deliver** the following securities from the Account to the Participant.

股份代號 Stock Code	股票名稱 Name of Stock	股份數量 No. of Share(s)	FOP-毋須付款 DVP-貨銀對付	貨銀對付金額 DVP Amount

I / We confirm that I / we have entered an off-exchange transaction that I/We have\*\*bought / sold the above mentioned shares from the Transferor, \_\_\_\_\_ / to the Transferee, \_\_\_\_\_ as stated in the stamped Bought & Sold Note dated \_\_\_\_\_, a copy of which is enclosed for your reference. I/We further confirm that the said transaction was concluded between the Transferee and Transferor directly, and the ultimate beneficial ownership of the said shares has been changed accordingly.

In consideration of your accepting my/our above withdrawal and delivery settlement instruction, I/we, the Transferor / the Transferee, hereby indemnify and keep you indemnified against any damages, claims and costs arising out of your acceptance of my/our above instruction.

Please debit the captioned Account for any costs or charges incurred. \*\*刪除不適用者

\_\_\_\_\_  
**Signature(s) of Account Holder(s)**

Date:

\_\_\_\_\_  
Signature(s) of AE

AE Code:

**Note 注意:**

- By signing this instruction, the Account Holder(s) agree to pay all relevant handling fee (if any) necessary for complete the transfer. Please refer to the Securities Services Charges leaflet for the transaction charges involved, if any. 請參閱證券服務收費表。  
[參考: SI 提倉手續費= 股票市值\*0.05% + 每隻股票 HKD 100.00 ]
- Please give instruction to your broker/bank to receive/deliver the securities from/to YUE XIU SECURITIES COMPANY LIMITED.  
請指示您的經紀或銀行接收/交付上述證券到越秀証券有限公司。 [參與者編號 B01551 / 聯絡資料(852)3925 9801 鄺先生]

印鑑核對	合規風控	審批	結算部	Prepared	Checked	Approved	Client A/C No.:

Purpose: **M** (Default) / C